

# 1 Collatz problem

$$T(n_i) \begin{cases} n_i = 2 \cdot k_i & \rightarrow \frac{n_i}{2} = n_{i+1} \\ n_i = 2 \cdot k_i + 1 & \rightarrow 3 \cdot n_i + 1 = n_{i+1} \end{cases}$$

also expressible as:

$$T(n) \begin{cases} \frac{3n+1}{2} \text{ if } n \equiv 1 \pmod{2} \\ \frac{n}{2} \text{ if } n \equiv 0 \pmod{2} \end{cases}$$

terminates when  $n_m = 1$ .

The number of steps,  $S$  s.t.  $T(n_r) \rightarrow 1$  is

$$S = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \dots \\ 1 & 2 & 8 & 3 & 6 & 9 & 17 & 4 & 20 \dots \end{pmatrix}$$

## 1.1 Related problems

A similar problem may be formulated

$$g(n) \begin{cases} \frac{2n}{3} \text{ if } n \equiv 0 \pmod{3} \\ \frac{4n-1}{3} \text{ if } n \equiv 1 \pmod{3} \\ \frac{4n+1}{3} \text{ if } n \equiv 2 \pmod{3} \end{cases}$$

For this the number of steps,  $P$  s.t.  $T(n_r) \rightarrow 1$  is

$$P = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \dots \\ 1 & 3 & 2 & 5 & 7 & 4 & 9 & 11 & 6 \dots \end{pmatrix}$$

These and other generalizations will be taken up later.

# 2 First consideration

Some preliminary observations on the original problem.

Any power of two,  $2^n$  reduces to 1 in  $n$  steps. Any odd  $p$  times a power of two,  $p \cdot 2^n$  reduces to 1 in  $n + r$  steps, where  $r$  is the "reduction" number of the odd number.

## 2.1 First approach

Let  $n_1 = 2^k n_2 + c$

**Case 1.**

For  $c = 0 \Rightarrow S = k$  for the transformation  $T(n_1) \mapsto n_2$

The special case  $c = 0 \wedge n_2 = 1 \Rightarrow S = k$  for  $T(n_1) \mapsto 1$

So after the steps leading from  $T(n_i) \mapsto n_j$  to where  $n_j = 2n_{j+1} + c_{j+1}$  and  $c_{j+1} \neq 0$ .

**Case 2.**

When  $n_1 = 2^k n_2 + c$  and  $c \neq 0 \Rightarrow S > k$ .

We will take the case where  $c$  is odd, since for  $c$  even we have

$$c_1 = 2c_2 \Rightarrow 2^k n_2 + c_1 = 2^k n_2 + 2c_2 = 2(2^{k-1} n_2 + c_2)$$

letting  $2 \cdot (2^{k-1} n_2 + c_2) = 2 \cdot n_3$  we obtain the case  $T(n_3)$ .

**Case 3.**

When  $n_1 = 2^k n_2 + c$  and  $(c = 2c_1 + 1)$

$$\begin{aligned} \Rightarrow n_1 &= 2^k n_2 + 2c_1 + 1 \\ 2(2^{k-1} n_2 + c_1) + 1 &= 2m + 1 \end{aligned}$$

where we let  $m = 2^{k-1} n_2 + c_1$ . So we will begin with the case  $c = 1$

$$\begin{aligned} T(n_1 = 2^k n_2 + 1) &\mapsto 3(n_1) + 1 = \\ 3(2^k n_2 + 1) + 1 &= \\ 3 \cdot 2^k n_2 + 3 + 1 &= \\ 2^k \cdot 3n_2 + 4 &= \\ 2(2^{k-1} 3n_2 + 2) & \end{aligned}$$

$$T(2(2^{k-1} 3n_2 + 2)) \mapsto 2^{k-1} 3n_2 + 2$$

If  $k = 1$  then  $T(n_1) = 3n_2 + 2$ .

This leaves us with the two possibilities,  $3n_2 + 2$  odd or even.

So far we have seen that for  $T(n_1) \mapsto n_j = 2^k$  then  $(n_1 = 2^p : k > p)$  or  $(n_{j-1} = \chi_n)$ .

Letting  $\chi_n$  be a number expressible as

$$2^{2(n-1)} + 2^{2(n-2)} + \dots + 2^{2(n-n)}$$

and having the following properties:

$$4\chi_n + 1 = \chi_{n+1} \wedge 3\chi_n + 1 = 2^{2n}$$

we may then draw up the following table:

$n = 0$	$\chi_0 = 0$	$4 \cdot 0 + 1 = 1$	$3 \cdot 0 + 1 = 2^0$	$0$
$n = 1$	$\chi_1 = 1$	$4 \cdot 1 + 1 = 5$	$3 \cdot 1 + 1 = 2^{2 \cdot 1}$	$1 = 2^0$
$n = 2$	$\chi_2 = 5$	$4 \cdot 5 + 1 = 21$	$3 \cdot 5 + 1 = 2^{2 \cdot 2}$	$5 = 2^2 + 2^0$
$n = 3$	$\chi_3 = 21$	$4 \cdot 21 + 1 = 85$	$3 \cdot 21 + 1 = 2^{2 \cdot 3}$	$21 = 2^4 + 2^2 + 2^0$

Proceeding as above we have

$n = 4$	$\chi_4$	=	85
$n = 5$	$\chi_5$	=	341
$n = 6$	$\chi_6$	=	1365
$n = 7$	$\chi_7$	=	5461
$n = 8$	$\chi_8$	=	21845
$n = 9$	$\chi_9$	=	87381
$n = 10$	$\chi_{10}$	=	349525
$n = 11$	$\chi_{11}$	=	1398101
$n = 12$	$\chi_{12}$	=	5592405
$n = 13$	$\chi_{13}$	=	22369621
$n = 14$	$\chi_{14}$	=	892478485

## 2.2 example

Applied to a  $\chi_n$  we get

$$\begin{aligned} 3(\chi_n) + 1 &= 3(2^{2(n-1)} + 2^{2(n-2)} + \dots + 2^{2(n-n)}) + 1 \\ &= (2 + 1)(2^{2(n-1)} + 2^{2(n-2)} + \dots + 2^{2(n-n)}) + 1 \end{aligned}$$

$$\text{since } (2 + 1)(2^k) = 2 \cdot 2^k + 2^k = 2^{k+1} + 2^k$$

$$\begin{aligned} &= 2^{2(n-1)+1} + 2^{2(n-1)} + 2^{2(n-2)+1} + 2^{2(n-2)} + \dots + 2^{2(n-n)+1} + 2^{2(n-n)} + 1 \\ &= 2^{2n-2+1} + 2^{2n-2} + 2^{2n-4+1} + 2^{2n-4} + \dots + 2^{2 \cdot 0} + 2^{2 \cdot 0} + 1 \\ &= 2^{2n-1} + 2^{2n-2} + 2^{2n-3} + 2^{2n-4} + \dots + 2^1 + 2^0 + 1 \\ &= 2^{2n} \end{aligned}$$

And we know that for

$$T(2^{2n}) \mapsto 1 \quad S = 2n$$

An example, given 14, we proceed until we get a  $\chi$  number. We will simply denote  $T(n_i) \mapsto n_{i-1}$  as  $n_i \mapsto n_{i-1}$

$$\begin{aligned} 14 &= 2^3 + 2^2 + 2^1 \mapsto \\ 7 &= 2^2 + 2^1 + 2^0 \mapsto \\ 22 &= 2^4 + 2^2 + 2^1 \mapsto \\ 11 &= 2^3 + 2^1 + 2^0 \mapsto \\ 34 &= 2^5 + 2^1 \mapsto \\ 17 &= 2^4 + 2^0 \mapsto \\ 52 &= 2^5 + 2^4 + 2^2 \mapsto \\ 26 &= 2^4 + 2^3 + 2^1 \mapsto \\ 13 &= 2^3 + 2^2 + 2^0 \mapsto \\ 40 &= 2^5 + 2^3 \mapsto \\ 20 &= 2^4 + 2^2 \mapsto \\ 10 &= 2^3 + 2^1 \mapsto \\ 5 &= 2^2 + 2^0 \end{aligned}$$

and 5 is a  $\chi_n$  number.

### 3 appendix

This is a short quick program to generate a few values of  $S$ .

```
/* -----( COLLATZ.C )----- *
 * ----- *
#include "stdio.h"
main()
{
    gener(32000);
}
gener(n)
int n;
{
    long i, c, j;
    for (c=2;c<=n;c++) {
        j=1;
        i = c;
        while (i != 1 ) {
            if ((i % 2) == 0 )
                i = i/2;
            else
                i = ((3 * i) + 1);
            j = j+1;
        }
        printf("\n %10ld %10ld ",c,j);
    }
}
```

### References

- [1] Author *Title*. American Mathematical Monthly 92, 1 (Jan. 1985)